

FEB 14 2002  
PATENT & TRADEMARK OFFICE

Attorney Docket No.: 023070-114510US  
U.S. Case No.: 2001-083-1

PTO/SB/01A (10-00)

Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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## DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)

As the below named inventor(s), I/we declare that:

This declaration is directed to:

- ☐ The attached application, or
- ☒ Application No. 09/992,845 filed on November 14, 2001
- ☐ as amended on \_\_\_\_\_ (if applicable);

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I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;

I/we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;

I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including material information which became available between the filing date of the prior application and the National or PCT International filing date of the continuation-in-part application, if applicable; and

All statements made herein of my/our own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.

### FULL NAME OF INVENTOR(S)

Inventor 1 Karen A. McDonald Date: 1/14/2002

Signature: Karen McDonald Citizen of: United States

Inventor 2 Alan P. Jackman Date: 01/14/02

Signature: Alan P. Jackman Citizen of: United States

Inventor 3 Melody M. Trexler Date: 1/11/02

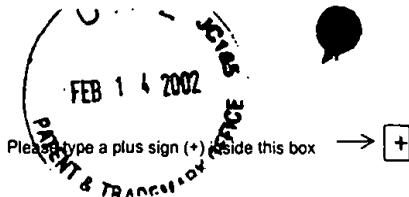
Signature: Melody M. Trexler Citizen of: United States

Inventor 4 \_\_\_\_\_ Date: \_\_\_\_\_

Signature: \_\_\_\_\_ Citizen of: \_\_\_\_\_

☐ Additional inventors are being named on form(s) attached hereto.

Burden Hour Statement: This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is used by the public to file (and the PTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 1 minute to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.  
SF 1306807 v1



PTO/SB/81 (02-01)  
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<b>POWER OF ATTORNEY OR AUTHORIZATION OF AGENT</b>	<b>Application Number</b>	09/992,845
	<b>Filing Date</b>	November 14, 2001
	<b>First Named Inventor</b>	Karen A. McDonald <i>et al.</i>
	<b>Title</b>	PROCESS FOR SCALED-UP PRODUCTION OF RECOMBINANT PROTEINS USING TRANSGENIC PLANT SUSPENSION CULTURES
	<b>Group Art Unit</b>	1638
	<b>Examiner Name</b>	Unassigned
	<b>Attorney Docket Number</b>	023070-114510US

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I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.

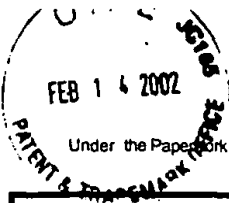
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

**SIGNATURE of Applicant or Assignee of Record**

<b>Name</b>	Linda S. Stevenson, Manager, Patent Prosecution
<b>Signature</b>	<i>Linda S. Stevenson</i>
<b>Date</b>	January 16, 2002

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☒ \*Total of ONE forms are submitted.



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Attorney Docket No. 023070-114510US

**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Karen A. McDonald, Alan P. Jackman, and Melody M. Trexler

Application No./Patent No.: 09/992,845 Filed/Issue Date: November 14, 2001

Entitled: PROCESS FOR SCALED-UP PRODUCTION OF RECOMBINANT PROTEINS USING TRANSGENIC PLANT SUSPENSION CULTURES

The Regents of the University of California, a University

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of an undivided part interest

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

2. From: \_\_\_\_\_ To: \_\_\_\_\_

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☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8]

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

January 16, 2002

Date

  
Signature

Linda S. Stevenson

Typed or printed name

Manager, Patent Prosecution

Title

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